

## Form – 9

[See rule - 15 (5) and 16 (5)]

### TRANSBOUNDARY MOVEMENT - MOVEMENT DOCUMENT

<b>Sr. No.</b>	<b>Description</b>	<b>Details to be furnished by the Exporter/Importer</b>
<b>1.</b>	<b>(i) Exporter (Name &amp; Address)</b>	
	<b>Contact Person</b>	
	<b>Tel./ Fax</b>	
	<b>(ii) Waste Generator (Name &amp; Address)</b>	
	<b>Contact Person with Tel./ Fax</b>	
	<b>Site of Generation</b>	
<b>2.</b>	<b>Importer/ Recycler (Name &amp; Address)</b>	
	<b>Contact Person with Tel./ Fax</b>	
<b>3.</b>	<b>Corresponding to applicant Ref. No.</b>	
	<b>Movement subject to Single/ Multiple</b>	
<b>4.</b>	<b>Serial Number of Shipment</b>	
<b>5.</b>	<b>(a) 1<sup>ST</sup> Carrier (Name &amp; Address)</b>	
	<b>Registration Number</b>	
	<b>Tel./ Fax</b>	
	<b>Identity of Means of Transport<sup>(3)</sup></b>	
	<b>Date of Transfer</b>	
	<b>Signature of Carrier's representative</b>	
	<b>(b) 2<sup>ND</sup> Carrier (Name &amp; Address)</b>	
	<b>Registration Number</b>	
	<b>Tel./ Fax</b>	
	<b>Identity of Means of Transport<sup>(3)</sup></b>	
	<b>Date of Transfer</b>	
	<b>Signature of Carrier's representative</b>	

	<b>(c) Last Carrier (Name &amp; Address)</b>	
	<b>Registration Number</b>	
	<b>Tel./ Fax</b>	
	<b>Identity of Means of Transport<sup>(3)</sup></b>	
	<b>Date of Transfer</b>	
	<b>Signature of Carrier's representative</b>	
<b>6.</b>	<b>Disposer (Name, Address)</b>	
	<b>Contact Person</b>	
	<b>Actual site of disposal</b>	
	<b>Tel./ Fax</b>	
<b>7.</b>	<b>Method(s) of Recovery</b>	
	<b>R Code</b>	
	<b>Technology employed*</b> <b>*(Attach details if necessary)</b>	
<b>8.</b>	<b>Designation and chemical composition of the waste</b>	
<b>9.</b>	<b>Physical characteristics<sup>(3)</sup></b>	
<b>10.</b>	<b>Actual quantity Kg/ Lit</b>	
<b>11.</b>	<b>Waste identification Code</b>	
	<b>Base No.</b>	
	<b>OECD No.</b>	
	<b>UN No.</b>	
	<b>ITC (HS)</b>	
	<b>Customs Code (HS)</b>	
	<b>Other (specify)</b>	
<b>12.</b>	<b>OECD Classification<sup>(2)</sup></b>	
	<b>(a) Amber/ Red/ Other (attach details)</b>	
	<b>(b) Number</b>	
<b>13.</b>	<b>Packaging Type<sup>(3)</sup></b>	
	<b>Number</b>	
<b>14.</b>	<b>UN Classification</b>	
	<b>UN Shipping Name</b>	
	<b>UN Identification No.</b>	

	UN Class <sup>(3)</sup>	
	H Number <sup>(3)</sup>	
	Y Number	
15.	Special handling requirements	
16.	Actual date of Shipment	
17.	<p><b>Exporter's declaration:</b></p> <p>I certify that the information in Sr. No. 1 of 16 above is complete and correct to my best knowledge. I also certify that legally-enforceable written contractual obligations have been entered into, that any applicable insurance or other financial guarantees are in force covering the transboundary movement and that all necessary authorizations have been received from the competent authorities of the States concerned.</p> <p>Date:</p> <p style="text-align: center;">Signature:</p> <p>Name:</p>	
<b>TO BE COMPLETED BY IMPORTER/ RECYCLER</b>		
18.	Shipment received by Importer/ Recycler	
	Quantity received _____ Kg/ Lit	
	Date:	
	Signature:	
	Name:	
19.	Shipment received at Recycler	
	Quantity received at recycler _____ _____ Kg/ Lit	
	Quantity received and accepted _____ _____ Kg/ Lit	
	Date:	
	Signature:	
	Name:	
20.	Approximate date of recycling	
21.	Method of recycling	

22.	I certify that the Recycling of the wastes described above will be completed as per HW (M, H and TM) Rules.	
	Date:	Signature:
23.	Specific Conditions on Consenting to the Movement	(Attach details)

**Note: (1) Attach list, if more than one; (2) Enter X in appropriate box; (3) See codes reverse (x) Immediately contact Competent Authority; (4) If more than three carriers, attach information as required Sr. No. 5.**

List of abbreviations used in the notification

**RECOVERY OPERATIONS (Sr. No. 7)**

- R1 Use as fuel (other than in direct incineration) or other means to generate energy**
- R2 Solvent reclamation /regeneration**
- R3 Recycling/reclamation of organic substances which are not used as solvents**
- R4 Recycling/reclamation of metals and metal compounds**
- R5 Recycling/reclamation of other inorganic materials**
- R6 Regeneration of acids or bases**
- R7 Recovery of components used for pollution abatement**
- R8 Recovery of components from catalysts**
- R9 Used oil re-refining or other reuses of previously used oil**
- R10 Land treatment resulting in benefit to agriculture or ecological improvement**
- R11 Uses of residual materials obtained from any of the operations numbered R1 to R10.**
- R12 Exchange of wastes for submission to any of the operations numbered R1 to R11**
- R13 Accumulation of material intended for any operation numbered R1 to R12.**

Means of Transport (Sr. No. 5)	Packaging Types (Sr. No. 13)	Physical Characteristics (Sr. No. 09)
R = Road T = Train/Rail S = Sea A = Air W = Inland Water ways	1. Drum 2. Wooden barrel 3. Jerrican 4. Box 5. Bag 6. Composite packaging 7. Pressure receptacle 8. Bulk 9. Other (Specify)	1. Powdery/powder 2. Solid 3. Viscous/paste 4. Sludgy 5. Liquid 6. Gaseous 7. Other (specify)

**H NUMBER (Sr. No. 14) & UN CLASS (Sr. No. 14)**

<b>UN Class</b>	<b>H Number</b>	<b>Designation</b>
<b>1</b>	<b>H 1</b>	<b>Explosive</b>
<b>3</b>	<b>H 3</b>	<b>Inflammable liquids</b>
<b>4.1</b>	<b>H 4.1</b>	<b>Inflammable solids</b>
<b>4.2</b>	<b>H 4.2</b>	<b>Constituents or wastes liable to spontaneous combustion</b>
<b>4.3</b>	<b>H 4.3</b>	<b>Constituents or wastes which, in contact with water emit inflammable gases</b>
<b>5.1</b>	<b>H 5.1</b>	<b>Oxidizing</b>
<b>5.2</b>	<b>H 5.2</b>	<b>Organic peroxides</b>
<b>6.1</b>	<b>H 6.1</b>	<b>Poisonous (acute)</b>
<b>6.2</b>	<b>H 6.2</b>	<b>Infectious wastes</b>
<b>8</b>	<b>H 8</b>	<b>Corrosives</b>
<b>9</b>	<b>H 10</b>	<b>Liberation of toxic gases in contact with air or water</b>
<b>9</b>	<b>H 11</b>	<b>Toxic (delayed or chronic)</b>
<b>9</b>	<b>H 12</b>	<b>Ecotoxic</b>
<b>9</b>	<b>H 13</b>	<b>Capable, by any means, after disposal of yielding another material e.g. leachate, which possesses any of the characteristics listed above.</b>

**Y numbers (Sr. No. 13) refer to categories of waste listed in Annexure I and II of the Basel Convention, as well as more detailed information can be found in an instruction manual available from the Secretariat of the Basel Convention.**